

Implementation of High Risk Mitigation Measures (Corporate PPB) – Quarter 2 to 30th September 2014

The purpose of this report is to provide an update concerning the implementation of mitigation measures for those areas of high risk which are relevant to the remit of this Policy and Performance Board.

Business Area – ICT Services

| Assessment of current risk | | Impact (Severity) | Likelihood (Probability) | Score (I x L) | | |
|----------------------------|--|----------------------|-----------------------------|--------------------|------------------------|-------------------|
| PR R5 | Network problems or failure prevents the delivery of Council Services. <i>(Strategic Priority: Corporate effectiveness and business efficiency)</i> | 4 | 3 | 12 | | |
| Risk control measure(s) | | Lead Officer | Timescale Review | Residual Impact | Residual Likelihood | Residual Score |
| <i>R5 a</i> | <i>Business Continuity Plans / Disaster Recovery Plans in Place</i> | Simon Riley | Annually | 3 | 2 | 6 |
| <i>R5 b</i> | <i>Key Services identified so that when network problems occur those areas are given priority</i> | | | | | |

Progress update

Business Continuity Planning and Disaster Recovery plans are complete and published in consultation with all directorate requirements and plans have been updated to reflect the 2014/2015 requirements.

Key services have been identified within the BCP/DR planning process together with plans to link both the Picow Farm and Municipal Building data centre locations through the use of High Availability systems allowing “Active – Active” running between the two locations resulting in high levels of resilience and service availability.

High Risks Progress Report – Communities Directorate - Mid-Year Update to 30th September 2014

Business Area – Legal & Democratic Services

| Assessment of current risk | | Impact (Severity) | Likelihood (Probability) | Score (I x L) | | |
|----------------------------|--|----------------------|-----------------------------|--------------------|------------------------|-------------------|
| PR R8 | Failure to implement effective Corporate Governance Arrangements results in decision making which lacks transparency, accountability, and efficiency. <i>(Strategic Priority: Corporate effectiveness and business efficiency)</i> | 4 | 3 | 12 | | |
| Risk control measure(s) | | Lead Officer | Timescale Review | Residual Impact | Residual Likelihood | Residual Score |
| <i>R8 a</i> | <i>Standard Report formats</i> | Mark Reaney | Annually | 4 | 1 | 4 |
| <i>R8 b</i> | <i>Adoption of a written Constitution reviewed annually</i> | | | | | |
| <i>R8 c</i> | <i>Implementation of statutory access to information requirements</i> | | | | | |
| <i>R8 d</i> | <i>Implementation of Overview and Scrutiny arrangements</i> | | | | | |

Progress update

The reviewed and revised Constitution was approved by Council on 9 April 2014.

Measures a,c & d above are rigidly enforced at all times and kept under constant review.

The new openness regulations are now in force and the provisions requiring additional access to meetings have been implemented.

NB: Initial Risk scores and residual risk scores after the application of the risk control measures, obtained from the Directorate Risk register, are stated underneath each high risk area for ease of reference.

High Risks Progress Report – Communities Directorate - Mid-Year Update to 30th September 2014

Business Area – Community and Environment

Business Objective / project

| Ref | Description |
|-----|-------------|
|-----|-------------|

| | |
|-----|---|
| CE2 | Increase the community usage of the stadium and to maintain and improve the health of Halton residents. |
|-----|---|

Assessment of current risk(s)

| | | Impact (Severity) | Likelihood (Probability) | Score (I x L) |
|-------|---|----------------------|-----------------------------|------------------|
| CE2 1 | Cost of using the stadium to disadvantaged/community groups. | 4 | 3 | 12 |
| CE2 2 | Lack of knowledge of the benefits of the Halton Leisure card. | 4 | 3 | 12 |
| CE2 3 | Lack of understanding of what is on offer for all age/gender groups within the stadium. | 4 | 3 | 12 |

Risk control measure(s)

| | | Lead Officer | Timescale Review | Residual Impact | Residual Likelihood | Residual Score |
|-------|---|--------------|---------------------|--------------------|------------------------|-------------------|
| CE2 1 | Ensure all community user groups are made aware of discounts available. | Chris Patino | Quarterly | 2 | 2 | 4 |
| CE2 2 | Work closely with Sports Development team to actively promote the HLC to all low income/community user groups. | | | 2 | 2 | 4 |
| CE2 3 | Advertise, market and promote all stadium facilities, liaise closely with Sports Development team and Corporate Communications to source any additional funding for specific targeted groups. | | | 2 | 2 | 4 |

Progress update

CE2 1 Cost of using the stadium to disadvantaged/community groups - The Stadium has an excellent relationship with a wide and varied range of community groups.

CE2 2 Lack of knowledge of the benefits of the Halton Leisure card - A marketing campaign promoting the HLC to all residents includes higher profile brochures and local advertising.

CE2 3 Lack of understanding of what is on offer for all age/gender groups within the stadium - Ongoing promotions with all users and prospective users of the Stadium.

High Risks Progress Report – Communities Directorate - Mid-Year Update to 30th September 2014

Business Area – Community and Environment

Business Objective / project

| Ref | Description |
|-----|--|
| CE3 | Increase the number of pupils having a school lunch, to raise awareness and increase levels of healthy eating. |

| Assessment of current risk(s) | | | Impact (Severity) | Likelihood (Probability) | Score (I x L) | | |
|-------------------------------|---|--|---------------------------------|-----------------------------|--------------------|------------------------|-------------------|
| CE3 1 | Financial pressures on the service to increase rate of return. | | 4 | 3 | 12 | | |
| CE3 3 | The cost of a school lunch to low income families. | | 4 | 3 | 12 | | |
| Risk control measure(s) | | | Lead Officer | Timescale Review | Residual Impact | Residual Likelihood | Residual Score |
| CE3 1 | Look to source additional funding. Produce a healthy eating policy and have it formally adopted by the Council. | | Chris Patino / Ella Coackley | Monthly / Ongoing | 3 | 2 | 6 |
| CE3 3 | The cost of a school lunch to low income families. | | | | 3 | 2 | 6 |

Progress update

CE3 1 Work is ongoing with a number of our health partners.
 CE3 3 The Universal Free School Meals (UFSM) has resulted in a significant increase in the uptake of school meals.

High Risks Progress Report – Communities Directorate - Mid-Year Update to 30th September 2014

Business Area – Economy, Enterprise & Property

| Assessment of current risk | | Impact (Severity) | Likelihood (Probability) | Score (I x L) | | |
|----------------------------|---|----------------------|-----------------------------|--------------------|------------------------|-------------------|
| EEP 6 | Backlog of maintenance on buildings may increase as funding reduces due to financial pressures | 4 | 4 | 16 | | |
| Risk control measure(s) | | Lead Officer | Timescale Review | Residual Impact | Residual Likelihood | Residual Score |
| <i>EEP6</i> | <i>Ensure careful prioritisation carried out to reduce impact as far as possible. Focus on servicing and investment in planned maintenance.</i> | Wesley Rourke | Quarterly | 4 | 3 | 12 |

Progress update

Works Programme is prioritised and considered and approved by the Asset Management Working Group prior to any works commencing.